

Pending Accreditation

IN-PERSON WORKSHOP

KYC & AML –

The Good, The Bad & The Ugly



Target Audience:

Private Bankers, Wealth Managers,
Financial Advisors, Retail Bankers

Duration: 4 CPD Hours

Core FAA/SFA Hours: 4

Fee: SGD 650 per participant

EARLY BIRD DISCOUNT

Enjoy **10% discount** when you register **one (1) month** before the course commencement date.

Learning Objectives

- To strengthen participants' ability to validate customer integrity, identify red flags, and apply best-practice KYC/AML procedures in client onboarding ensuring compliance, business growth, and customer trust.

>> What you'll learn

1. The Good –Wearing Your Compliance Officer Hat

- Strengthen understanding of KYC, AML, and CDD fundamentals.
- Apply the Top 10 criteria to evaluate new client onboarding.
- Use proven workflow templates to ensure consistent and compliant practices.

2. The Bad – When Red Flags are Missed

- Analyze real case studies to uncover how onboarding failures happen.
- Identify common red flags and why they're often missed to strengthen compliance vigilance.

3. The Ugly – When It Escalates

- Examine real-world escalation scenarios involving fraud, AML, and CFT breaches.
- Practice handling client pushback through video analysis and role-play simulations.

4. Private Banker HAT – Re-Designing a Case

- Apply KYC and AML onboarding steps to a real prospect case study.
- Identify key areas to red flag and opportunities for improvement.

5. Closing & Business Application

- Refine prospect documentation to produce a complete and compliant KYC file.
- Present final work to demonstrate accuracy, clarity, and regulatory alignment.

6. Assessment & Reflection

- Practice applying structured criteria to assess client risk and compliance quality.
- Reflect and commit to one actionable improvement for future reviews.

PRACTICE & APPLICATION

- Engage in real-world KYC and AML case scenarios with peer collaboration.
- Apply structured compliance frameworks through guided activities and reflection.

KEY VALUE PROPOSITION

- Translates complex KYC/AML regulations into clear, practical decision-making tools.
- Builds compliance confidence through hands-on case reviews, red flag detection, and role-play simulations.
- Equips bankers with sharper judgment and accountability to strengthen client onboarding and risk management.

About IBF Certification

Technical Skills and Competencies (TSCs) and Proficiency Level (PL): Customer Acceptance Checking and Onboarding Level 4

Participants are encouraged to access the IBF MySkills Portfolio (<https://www.ibf.org.sg/home/for-individuals/resource-tools/myskills-portfolio>) to track their training progress and skills acquisition against the Skills Framework for Financial Services. You can apply for IBF Certification after fulfilling the required number of Technical Skills and Competencies (TSCs) for the selected job role. Find out more about IBF certification and the application process on [here](#).

Up to 70% Funding

Terms & Conditions apply

Learn more:

IBF Standards training Scheme (IBF-STS)



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