

TGS-2025058844

SELF-PACED ONLINE LEARNING (ASYNCHRONOUS)

Integrity in Action: Mastering Compliance & Ethics in Private Banking Rules, Regulations, Compliance & Ethics (RRCE)



Target Audience:
Private Bankers & Relationship Managers

Duration: 4 CPD Hours
Core FAA/SFA Hours: 4
Fee: SGD 500 per participant

EARLY BIRD DISCOUNT

Enjoy **10% discount** when you register **one (1) month** before the course commencement date.

Learning Objectives

- Master KYC protocols through scenario-based training to effectively probe client backgrounds and financial histories, fostering trust and stronger client relationships.
- Apply Client Investment Suitability principles to propose customized investment solutions that align with AML, CFT, and regulatory standards, ensuring ethical and compliant client interactions.
- Emphasize compliance as a strategic tool to position private bankers as credible, informed advisors dedicated to both regulatory excellence and client success.

Program Introduction & Orientation

Introduction to the program and its significance in promoting ethical standards and regulatory compliance in private banking.



Mobile Learning Experience



6 Modules

- 1. Ethics and Behavior in Private Banking | What's the real cost of cutting corners?**
Establishes ethical standards and expected conduct in private banking.
- 2. Anti-Money Laundering (AML), Financial Crime Compliance (FCC), and Counter-Terrorism Financing (CFT) | Could you spot financial crime before it's too late?**
Provides strategies to detect and prevent financial crimes and terrorism financing.
- 3. Know Your Customer (KYC) | Do you really know your clients?**
Reinforces effective client verification practices for regulatory compliance.
- 4. Data Privacy and Protection | What's at stake if client data leaks?**
Focuses on safeguarding client data in compliance with privacy laws.
- 5. Investment Suitability and Conflict of Interest Management | How do you balance profits with conflicts of interest?**
Ensures investment recommendations meet client needs and manage potential conflicts.
- 6. Cybersecurity and Operational Resilience | Are you ready for the next cyberattack?**
Enhances understanding of cybersecurity practices and operational resilience in private banking.

Each module includes:

- **Engaging & Interactive:** Starts with a 'crime scene' investigation case scenario followed by interactive activities.
- **Sense-Making:**
 - Highlights the importance of mastering the module's topics.
 - Includes **Reading & Watching Content** with articles and videos to deepen understanding.
 - Features **Knowledge Check Activities** for interactive exercises to ensure comprehension and retention.
- **Scenario-Based MCQ Case Assessment:** Tests participants' application of the module's lessons in real-life scenarios.
- **Additional Reading:** Recommended resources for further exploration of topics.

About IBF Certification

Technical Skills and Competencies (TSCs) and Proficiency Level (PL): Ethical Culture (Level 4)
Participants are encouraged to access the IBF MySkills Portfolio (<https://www.ibf.org.sg/home/for-individuals/resource-tools/myskills-portfolio>) to track their training progress and skills acquisition against the Skills Framework for Financial Services. You can apply for IBF Certification after fulfilling the required number of Technical Skills and Competencies (TSCs) for the selected job role. Find out more about IBF certification and the application process on [here](#).

Up to 70% Funding

Terms & Conditions apply

Learn more:

IBF Standards training Scheme (IBF-STs)



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